IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

ROY MAYNOR,

Plaintiff,

CIVIL ACTION NO.

3:07-cv-00504

VS.

(JURY)

THE DOW CHEMICAL COMPANY, Defendant.

COLLECTIVE ACTION

ORAL DEPOSITION OF ROBIN CAMPBELL

APRIL 2, 2009

Condensed Copy With Keyword Index

Exhibit B



Robin Campbell - April 2, 2009

Roy Maynor vs. The Dow Chemical Company

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through the entire negotiations of this article. So, it 1

changed, maybe not conceptionally but verbiage changed, 2

here and there as we went through it. 3

Q I don't understand what you told me. You said

that it didn't change conceptionally, but verbiage

changed. Did I hear that right?

A Yes, sir.

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O Help me understand, if you remember, how this 8

skills initiative evolved into the final form that we

are looking at in Exhibit 1. 10

A I'm not going to remember the detail, but I 11

know that the Union on the intent of the article, I 12

13 believe our original proposal, was a little shorter.

They came up with some verbiage that they thought made 14

it more palatable. The site foundational skills at the 15

time we originally proposed it had three skill levels

per year versus the two that it ended up with, along 17

18 those lines.

Q Meaning the advancements? Two skill levels 19

versus three? 20

21 A Yes, sir.

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Q You mentioned that there was some -- at least

reported to you some negative information about

disciplinary action. 24

A Yes, sir.

Page 28

Page 27

- Q Was any of that altered or in any way changed? 1
- 2 A No, sir.
- 3 Q All right. So, the language relative to the
- corrective discipline stayed in draft form up until the 4
- 5 final version that we are looking at today?
 - A I need to be able to make -- this is -- we
- negotiated in 1993 -- I'm sorry, 2003. What you are
- 8 looking at right now is what I call mid-term negotiation
- changes that occurred in November of 2006. So, what we
- are looking at right here is not what was in the
- 11 contract when the contract was ratified in May of 2003.
- 12 Q Are you sure?
- 13 A Uh-huh.
 - Okay. Let's go off the record for a minute.
- 15 (A recess was taken and proceedings 16 resumed).
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- Q (By Mr. Siurek) Mr. Campbell, I want to make 18 sure my record is clear. So, I'm going to show you
- 19 what's been marked as Campbell Exhibit No. 2, and ask
- you if that is a true and correct copy of the Mid-Term
- 21 Agreement of the Collective Bargaining Agreement that
- 22 you referred to in Exhibit 1.
- 23 (Deposition Exhibit No. 2 was marked).
- 24 A Yes, sir, mid-term, November 20th, 2006. Yes,
- 25 sir.

- Q (By Mr. Siurek) Right. Now, if you'll go back 1
- and read the language of the document that's Exhibit 1. 3
 - A Uh-huh.
 - Q It's different than the Mid-Term Agreement in
- 5 Exhibit 2.

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- A Maybe I missed that. Hold on a second. Maybe 6 7 I missed that.
 - Q Is it not?
 - A Yes.
- 10 Q So my record is clear, Exhibit 1 is a document
- that was executed in final form in 2003. And the 11
- Mid-Term Agreement, which modified the language, was not 12
- signed until November of 2006? 13
- A Yes, sir. I'm missing a line in here that I 14 15 was looking for. So, yes, sir, this is it.
- 16 Q And just so the record is clear, in 2003, the
- 17 document that was ratified, the intent of this article,
- it said, Failure of employee to attempt to reach these
- requirements could result in corrective disciplinary 19 20
 - action.

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- 21 A Yes, sir, it did. That's the line I missed.
 - Q Now, in the negotiations, am I correct that
- 23 there were -- I'll try and ask it globally -- there were
- a number of other issues that needed to be addressed in
- the Collective Bargaining Agreement, as well?
- Page 26
- 1
 - Q And there were negotiations around a number of
- 3 other issues besides the skills initiative?
 - A Yes, sir.
- 5 Q Set those aside, and I want to talk about the
- bargaining as it only relates to the skills initiative. 6
- Tell me if you have any recollection about whether
- anyone on the management side or on the labor side
- raised any issues around how or if the skills initiative
- 10 participation was compensable by the company. 11
 - A Yes.
- 12 Q Okay. First question is: How often was that
- 13 discussed and by whom? And then everything you remember 14 about what was being said.
- 15 A I don't know how many times. It was not the 16 predominant part of the discussion, but I know it did
- 17 come up at least a couple of times from the Union.
- 18 Q And when you say it came up a couple of times,
- 19 what was said or how did it come up?
- 20 A As you discussed, the article and training, 21 what is compensable and what is not.
- 22 Q And what was the response of management?
- 23 A I think the -- it's changed so much over the
- 24 years, I just have to think, as far as I recall, is that
- they were going to -- the original assessment was going

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to be paid for. And if they had to go - and on this is one, I can't remember. If all of the reassessments well, I don't think all the reassessments were paid for, 3 maybe none of them were; but the training, there wasn't any required training and those were the discussions for 5 it. The company wasn't requiring any training. They 6 work with the college to make training available if an 7 employee thought training was something that would help 8 them or they needed, but we didn't require any training.

O Let me repeat what I wrote down, so I make sure I'm understanding your testimony.

A Okay.

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Q There was conversation around the 13 compensability of participation in skills initiative; is 14 that right? 15

A Yes, sir.

Q And it related to what would be compensable and 17 what would not be compensable? 18

A Yes, sir. 19

Q And you, I believe, said to me that it was 20 concluded in 2003, that the original assessment was 21 going to be paid. 22

A Yes, sir.

Q And the reassessments were not going to be 24

paid; is that right?

Page 31

Page 32

probably not going to have to have a reassessment? MS. PATTERSON: Objection, form. 2

A From the discussions that went on during negotiations and the scores that were done in assessments, they've done these assessments prior to that. 2001, 2002, I don't know. I was off doing Carbide, but somewhere in there the population had been tested in 2001, and 2002. Some of the scores were lower than they had been assessed by ACT as what we should have in order to run our plant, but the Union's position was we were told these didn't matter, people didn't try, they just went in there and colored in boxes and really didn't pay attention to anything.

Q (By Mr. Siurek) Well, I don't want to belabor the point, but is it your testimony that the position of management was that achieving these foundational skill -- skill profile levels were not going to be difficult for the employees; and as a result of that, the reassessment and training time wasn't going to be compensable?

MS. PATTERSON: Objection to the form.

A The thought was, we were not requiring any 22 training, none, zilch, if you needed to reassess or 23 whatever. We didn't require any training to be able to 24 do that. On the reassessments, I don't really remember

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A As well as I can remember, yes.

O And that training was not required.

3 A That one I do remember.

Q What about being paid? 4

5 A As far as?

O Training.

A In this case, we were not requiring any training. So, we were not paying for any training.

Q Okay. So, just making sure that when I'm

asking a question about what's compensable and not 10 compensable, training was not compensable in 2003? 11 A In 2003, as it related to the skills 12

initiatives, foundational skills, that is a true -- yes, it was not compensable based on we were not requiring 14 15 any training.

16 Q Okay. Now, let's stay in 2003. Why was the 17 original assessment compensable and the reassessment not 18 compensable?

19 A Let me see if I can remember what the -assessments were required, the reassessments weren't required at the time, assuming that people weren't going 22 to have trouble with the assessments.

23 Q Okay. Just so that -- I'm just trying to make sure the jury understands. So, the idea here is that we are going to pay you for the assessments because we're

where it was at that time, why it was where it was, but

the belief was, yes, these assessments or skills 2

initiative was only the foundation, exactly why they

were called foundational skills, to really get at what

the training that the company was wanting us to go and

do, which was very specific operations, get everybody to

that next level. It was during the part to get here

when our work force was assessed that it really didn't

look like that they could be successful at this level

because there could be some foundational skills gaps.

The foundational skills gaps are written in a 9th grade 11 education level and our employees, even prior to 1996,

were a high school education was required for the job. 13

Since '96, it's a degreed individual to go and do the 14 job. That was the basis behind the concept. 15

MR. SIUREK: I'm going to have to object to the responsiveness of the answer.

Q (By Mr. Siurek) Let me ask it to you again. The employees were paid for the original assessments?

A Yes, sir, we required the original assessment.

21 Q They were not paid for the reassessments? We 22 are in 2003.

23 A Right. Let's think of this twice. They were assessed 2001, 2002, I don't know exactly when. From 24 the Union's perspective of a lot of people dogged it or

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Q 2006, same question for all three.

A By November of 2006, we've agreed to go a 2 different approach. 3

Q So, at least through November of 2006, training 4 is not paid? 5

A Correct.

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Q Let's talk about this skills initiative program, itself.

A Okay.

Q It's my understanding there is six skill sets, 10 if you will, or groupings that employees are tested for; 11 is that correct?

A Yes, sir.

Q Do you remember what they are?

A Foundational Skills 1 is reading for

information, applied math, and locating information. 16 Foundational 2 is teamwork, observation, and applied 17 18 technology.

Q Okay. Then wait a minute. You said for 19

20 Part 2?

A Was observation, teamwork, and applied 21 22 technology.

Q All right. Correct. Now, am I correct in my 23 understanding that there was a sequence for the workers 24

to test and complete each of these sections?

O Okay, Who would know? 1

A Mike Gregg would know.

Q Well, let me ask you this question: How would you know if an employee was complying with the skills initiative if you don't know if they took one or six foundation tests?

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Page 40

A When I got the report from the college, it would tell me have they assessed in Foundational 1. It would have an X if it was yes. If they have assessed in Foundational 2, it would have an X. And if they reassessed in Foundational 1 or 2, it would have an X. So, I could look at the report and know has somebody assessed and have they reassessed.

Q Now, with regard to the original assessment, how long did a test take?

A When they originally took the test, you took 16 all three: Reading for information; locating 17 information; applied mathematics at the same time. So, 18 it was a four and a half hour test. 19

Q For three of the six?

21 A Uh-huh.

22 O Is that a yes?

23 A Yes, sir.

Q What about the remaining three? 24

A It was a separate four and a half hour test.

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A The Foundational Skills 1, yes. And it was 1 reading for information, applied mathematics, and then 2 locating information.

4 O And each had to reach a certain skill category 5 or skill level?

A Skill level.

Q Excuse me. Do you remember what it was for 7 8 each of those three?

A No.

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Q Do you have any reason to disagree with me that 10 RFI was 6, applied mathematics was 6, and locating 11

12 information was 5?

13 A Nope, because that's what I would have said, 14 but would have been guessing.

Q Now, let's talk about the initial testing. How 16 were each of the employees initially tested? How many tests did they have -- require to take?

17 18 A I really don't know that. I don't know if when 19 they did the original testing in '01, and '02, did they

20 test - they would have -- Foundational Skills 1 would

21 have all been tested at the same time. Foundational

22 Skills 2 would have probably been tested at another time. I don't know if they took everybody through all

six or if they just took them through one. I have no

25 idea. It's a proctored exam. You have X amount of time to

take one, then that one would end and you'd go on to the 3 next one.

Q I didn't ask you this earlier, but what was the 4 -- what was the intention or what was the objective behind the skills initiative? What was it supposed to 6

accomplish, if you know? 7

A The -- in 19 -- technology has changed. We are 8 a very technical company. In 1996, it had been 9

determined that we needed to raise the educational requirements to come to work for the company. So, we 11 went to a two-year degree being required. Then we shut

down one of our plants that flooded the rest of the -- a

very low technology plant. And there were questions about -- overall, this triggered some questions and some

issues that our incumbent work force is not required to 16

have a two-year degree. We need to raise that level so 17 18 that our incumbent work force can have the same skill

and abilities. That was the original intent, which 19 really goes and focuses at the process technology, the 20

21 other, Section 2 of this contract.

22 As they were working through there and setting up that, I don't know if they had people testing that as 23 they were first trying to validate and see if they were

on the right things because there were some gaps noticed

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that people may not have the skill and ability to understand the training that we are getting ready to go 2 have them do. So, that's where the foundational skills 3 part started being looked at. 4

O Was the idea that the skills initiative program, the intention of it, was to raise the skill level of the existing operators to the point where they would be needed to do -- to fulfill the expectations of their job?

A Yes, sir.

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O Okay. Was the converse true that if they were not successful in meeting the skill levels that they would not be meeting the expectations of their job?

A Of the future job of what we needed our 14 operators to be able to do. 15

Q But they would still be operators?

A Yes, sir. 17

O Now, you told me that the original assessments 18 were lumped into four and a half hour segments.

A Yes, sir. 20

O Talk to me about the reassessments.

A Well, whenever you profiled or did not profile, 22 23 you didn't profile at Foundational Skills 1. It was broken up. You took reading for information, locating

information, applied mathematics and you could have

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was. It ended up being what the profile level was. 1

2 Q We'll talk about this in a little bit more detail, but you are aware that the Union filed a 3 4 complaint with the Department of Labor with regard to 5 the skills initiative and skills initiative training, 6 correct?

A Yes, sir.

8 Q I want to -- in its complaint it says, Dow 9 Chemical requires each worker to take an initial test in 10 each of these six areas. Do you dispute that? 11

A No, I'm okay with that.

Q Employees are not paid for the time spent 12 testing. 13

A I don't agree with that. Based on the first sentence, you said -- didn't you say, The company required? Could you reread the first sentence?

Q We'll come back to it. I just want to see what we agree on and what we don't agree on.

A Okay.

19 20 Q Then it goes on to say that, Once the employee's skill level has been determined in each area the employee is expected to train without pay in order 22 to advance at least two skill levels per year until the employee has achieved the required skill level in all six categories. True?

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profiled in 1, 2, 3 or none. And if you profiled -- and let's just use 2 out of 3 as the example -- there's no need for you to go and sit through a four and a half hour assessment for the two hours you already passed. So, you would only go and take that one that you had not

5 profiled in yet.

Q My question was bad. How long was a reassessment test per functional area of the skills initiative?

10 A The time laid out is one and a half hours, but I'm going off of memory. It's a proctored test. It's a timed test. I actually believe they were between 45 --13 somewhere around 45 minutes.

14 Q Tell me why you believe they were somewhere 15 around 45 minutes.

A Well, because when we got asked all these questions about this, I wasn't here during that time. So, I went and took all of them so I would understand

19 it.

20 Q How did you do?

A I profiled. I profiled in five immediately.

22 Q What was your nonprofile in?

23 A The one that was more, what I would call,

operator specific. It was the applied technology. And at the time what I scored was not what the profile level

1 A What is it that you are asking me to say true 2 to? True that that's what that said or true that's what 3 the company was saying? 4

Q I'm reading you what this says and asking you if you agree with it?

MS. PATTERSON: And for the record, you are reading the complaint letter that the Union sent to the DOL.

A I understand that. I understand what you read. I want to make sure I understand -- I am not agreeing with that last sentence that you read, that that was the company's position on this. That is what the Union stated. I'm not going to say that the company aligned with that position.

15 Q (By Mr. Siurek) That's what I'm asking you. 16 This is what it says. Each employee must achieve a skill level of a 5 in two categories and a skill level 17 -- excuse me. Strike that. Once the employee's skill 18 19 level has been determined in each area the employee is 20 expected to train without pay in order to advance at

21 least two skill levels per year until the employee has

22 achieved the required skill level in all six skill

23 categories. Is that a true statement?

24 A No.

25 Q Tell me why it is not a true statement.

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A The company never required training.

Q Okay. Let me read it to you again. Once the employee's skill level has been determined in each area the employee is expected to train without pay in order to advance at least two skill levels per year. Do you agree with that sentence?

A No.

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MS. PATTERSON: Object to the form. Asked and answered.

Q (By Mr. Siurek) Tell me where in there it says that they are required to train.

A What you read me said they are required to train.

Q I'm not sure where we are getting -- and I apologize if there's some confusion here. I'm going to read it to you one more time and to your counsel.

MS. PATTERSON: I do think he's answered it. Why don't you show him a copy of it, so he can see

it? A Yeah, because I do agree what -- if you are asking me is that what their complaint was, then I'll agree with that.

MS. PATTERSON: We all know that's what it says. He's asking you if you agree with their allegations.

Q So, you believe that the employees are paid for the time spent testing?

A The first sentence says, Initial test --Initial test we pay.

Q Okay. Moving along. Each test takes about two to three hours to complete. Do you agree with that statement?

A Nope.

Q And it's your contention you mentioned an hour and a half, but you say as little as 45 minutes to take 10 the test; is that right?

A One and a half hours is what we have set up that we now pay for in today's time. The proctored test is 45 minutes. You have an hour and a half for somebody to get there, get set up, go through the testing, turn in the paperwork, whatever. The testing is about 45 minutes. The entire process is about an hour and a half.

18 Q Okay. Then it says, Once the employee's skill 19 level has been determined in each area the employee is 20 expected to train without pay in order to advance at 21

least two skill levels per year until the employee has 22

achieved the required skill level in all six categories. Do you agree with that statement?

24 A No, sir.

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A Right, and I don't.

Q (By Mr. Siurek) I'm very clear about that, Mr. Campbell, and we'll have plenty of time to vet all of our disagreements. I want to understand what you agree to and what you don't agree to. So, why don't I hand you what's been marked Campbell Exhibit No. 3, and ask you to turn to Page 2.

(Deposition Exhibit No. 3 was marked). Q (By Mr. Siurek) Where it says, Dow Chemicals

Mandatory Training Program, under that heading, there's a paragraph that begins, Dow Chemical requires each worker to take an initial test in each of six areas. Do you agree with that statement?

A Yes, sir.

Q Employees are not paid for time spent testing. Do you agree with that statement?

A Yes, I agree with that statement.

17 Q All right. So, the employees are not paid for 18 time spent testing, right? 19

MS. PATTERSON: Objection to the form.

A Step back a minute. Re-ask that question.

Q (By Mr. Siurek) I'm reading it to you.

23 A I understand that.

Q Do you agree with that sentence? 24

A No.

Q Is it your belief that employees are not 1 expected to train? 2

MS. PATTERSON: Objection to form.

We do not require them to train.

MR. SIUREK: And I object to the responsiveness of your answer.

Q (By Mr. Siurek) I want to know what you agree with here. Is it your belief that if they are not expected to train, then what is left other than not expected to train?

MS. PATTERSON: I think he's answered that.

A The two levels.

Q (By Mr. Siurek) Just so I'm clear, there is no expectation on the part of Dow -- I just want to make sure this is what you are going to tell the jury. That there's no expectation on the part of Dow on the skills initiative for the employees to train in order to advance?

A There is no requirement for the employee to 19 20

Q And I appreciate your answer, and I'm going to 21 22 ask it again.

A Go right ahead.

Q Is there no expectation on the part of Dow for 24 an employee to train to advance in the skills initiative

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program?
 MS. PATTERSON: Objection to form. Asked
 and answered.

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Q (By Mr. Siurek) Okay. Now, based on there being no expectation for the employees to train, tell me what training was set up by Dow for the employees to

MS. PATTERSON: Objection to the form.

A Dow made training available. ACT training is what it was. ACT was the group that came in and spent time in the plant, looked at what the jobs were, and where the minimum level foundation skills should be. ACT has electronic built training stuff available. ACT, working with a local college, set up -- made this available through the college portal. If the employees wanted to go and work on this, we made it available.

Q (By Mr. Siurek) Let me ask it to you this way: I understand ACT did it. I'm assuming Dow paid ACT to set up training for these employees?

A Dow paid ACT to come in and evaluate the site. I don't believe Dow paid ACT anything related to the training. The college, being proactive, seeing training opportunities for the entire area, which has a lot of chemical companies, oil companies, etc., was working

1 Q Well, let me finish the question.

A I'm sorry. Go ahead.

Q It's my understanding that the CDs were also available in workbook form. Do you have any reason to believe that not to be the case?

A I have no idea if there was or wasn't.

Q. Classes accessed through a portal on a

8 Web site, CDs, and possibly workbooks. What other forms

9 of training were available?

A That Dow provided?

Q Yes.

12 A That's the best I know of.

Q Are you including in the Web site access -- are

you talking about the on-line training?

MS. PATTERSON: Objection, form.

16 A Yes, sir.

17 Q (By Mr. Siurek) Were -- was there also 18 tutorials available, one on one with tutoring?

A I think that's right.

Q And it's your contention that Dow didn't pay

21 for that?

A That Dow didn't pay for what?

23 Q Tutoring.

MS. PATTERSON: The tutors?

25 MR. SIUREK: Yes.

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with ACT to go into the next what they saw as a future opportunity.

Q Let me ask it again. Describe for me the components of the training for the employees that were required to test in the skills initiative and advance two levels. Describe the training that was provided to them by Dow.

MS. PATTERSON: Objection to form.

A There was, I believe, multiple type of training. There were -- I think there were actual classes trying to hit on the different abilities of what people relate to. So, I believe there are classes and there is electronic -- get out on the Web site, go through a portal, and you are at some training, some available training. I also believe, originally, there were some CDs available.

Q (By Mr. Siurek) Do you know if there were workbooks available?

A No, I don't.

Q Well, let me ask it to you this way: Do you have any reason to doubt that there were workbooks? Hard copies of the CDs?

A So, the question was: Do I have any --

Q Reason to disagree with the --

A No.

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A Dow has an agreement with Brazos Port College that we did pay for the usage and stuff that — if an employee went to the college and took a class, the employee didn't pay for the classes. Dow paid for the classes.

6 Q (By Mr. Siurek) So, do I take from your answer 7 that Dow paid for the training?

MS. PATTERSON: Objection, form.

A Through Brazos Port College.

10 Q (By Mr. Siurek) Meaning, Dow paid Brazos Port 11 for the employee who was training there?

12 A Yes, sir.

13 Q All right. Now, with -- let me say this to

14 you, too: With regard -- let's stay on the training, if

15 we can, for a minute. Now, I want to make sure I'm

16 understanding the skills initiative, at least as I

17 understand it, to this point. In 2003, the Collective

18 Bargaining Agreement is ratified and the skills

19 initiative program is instituted relative to the Union;

20 is that right?

21 A Yes, sir.

22 Q The skills initiative program is a skills

23 enhancement opportunity for employees that -- in

24 addition to their full-time employment; is that correct?

25 MS. PATTERSON: Objection, form.

Q How do you know that? 1

A Because what we were told is what ACT was working on is not anything that was developed for Dow, in particular. It is an overall process that they use to go out in a manufacturing kind of plant. And so, they used, in our testing -- and I don't know if all of their questions were validated at the time, but the only questions that we used when people were going through the assessment that counts, whatever, was validated questions.

Q All right. Were you part of that process?

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Q In other words, I don't want to put words in your mouth, but I want to move on. Your understanding is, is that this testing was validated by its designers and that information was conveyed to you. And it's your understanding whatever was done validated the test for purposes of its use in the skills initiative?

19 A Yes, sir.

Q And that was done by ACT? 20

21 A Yes, sir.

O Are you aware of any information between Dow 22 and ACT that ACT asks for information relative to 23 24 testing validation from Dow?

A Would you restate that again?

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requirements of the CBA that say, In each skill level --

strike that. Each employee will be required to take a 2

skills assessment to determine the level they are at 3

paid for by the company. Once the skill level has been 4

5 determined an employee will be required to move up at

least two skill levels per year until the employee has 6

achieved the required level in all the skill categories. 7

So, how did you fit into the compliance that was 8

required by the employees during the first year of the 9

10 CBA?

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12 year because the first actual measurement date was due 13 December 31st, 2004, and the contract went into effect May of 2003. So, the first one, I think, was 18 months. 14 But once the process was developed, the college -- after 15 the FERPA had been signed, the college would send 16 somebody that reports to me an Excel spreadsheet that 17

A Actually, the first year it was longer than a

had the agreed upon items in it. 18

19 Q I want to focus on that for a minute. Tell me 20 what was sent to you by the college, as you recall.

A It was an Excel spreadsheet that had items that 21 22 the Union and the company had agreed upon. I believe it would be, like, seven questions. And I'm just trying to

23 remember this off of my head, but it was: Have they 24

assessed in Foundational 1? Have they assessed in 25

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Q Yes. Are you aware of any correspondence or 1 2 communication between ACT and DOW where ACT is requesting information so that the application of its 3

testing can be validated by ACT? 4 5

A I'm not sure I totally understand the question.

Q Well, I'm hearing you tell me that ACT validated the test.

A The - I believe that there are some questions where they covered an ACT test or not, I don't know, but where there's some questions that had to go through a 10 certain amount of validation. Before they could be validated, it would be yes. I don't know if that was on 12 the next set of tests, process technology testing, or if that was on foundational skills.

15 Q But you do remember some traffic correspondence between ACT and Dow relative to the validity of some 16 17 testing?

18 A Yes. I believe that was around the process 19 technology because that was something that didn't exist. The foundational skills thing existed. Process 20 technology did not exist. We still worked through ACT

21 while we were developing the next level of training. 22

23 Q Okay. I think I understand. Now, walk me through 2004. In other words, 2003, the CBA is

implemented. 2004, what is your role in terms of the

Foundational 2? Have they reassessed in 1 and 2? It

2 had six more after that that would have each of those --

3 each of the categories named and it would have -- and 4 all of these were just indicated by an X. If they had

an X in it, then they had done whatever it was, yes, 5

they would assess. Yes, they would reassess. If one of 6 the six levels or the six categories had an X, it means 7

they had profiled it. Otherwise, everything was blank. Q Is that all you had access to during that period of time?

A No. Up until -- that was '03, to probably, I think, October of '04, is the date it changed.

Q Then what happened in October of '04?

A Conversations with the Union regarding concerns 14 on the two levels, that there were people out there that 15 16 were actually -- were not going to -- maybe, because 17 this was still early, September, October timeframe, that 18 there were concerns that some of the people were not going to obtain the two levels, but they were trying to 19 20 obtain the two levels. From those conversations, we said, Well, we better be prepared for this. The Union 22 was worried, Hey, you know, into 2004, it says 23 discipline is going to be applied.

So, working together, what we agreed to do was get the college to provide a little bit more

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information. And it was the hours that people worked on -- online or in class. So, I think October of 2004, is 2 the first time we actually saw hours outside of the fact 3 that prior to then I would get a report that would just 4 tell me person, eight hours or greater. What was significant on there is we paid for eight hours of time 6 that people put into this. It had to do with a 7

tutorial, an orientation, whatever you want to call it. If you want to use anything that the college has available, you need to know how to use it. So, we paid for the first eight hours. We finished the assessment and got paid for that assessment, the original assessment. Then we paid for the next eight hours. At that time, the orientation was actually eight hours. They'd show you how to do it. They'd actually log you on. You would work -- go through some of this

17 stuff. And the thought process was in most -- in many of the cases in that eight-hour class that they were 19 taking they would get two levels during that time. So, originally, I would get a thing that would tell me eight hours so that I would know that employee needs to be paid for those hours.

Now, starting in October of '04, now they just 24 gave me -- this one was included in the whole report.

Q And would you generically call those training 1 2 hours?

A I'm going -- if you want to call it generic, 3 that means they were going out to the college and 4 logging into the college portals accessing the training 5 that was made available out at the college. 6 7

Q Okay. So, this would give you a snapshot by employee, each employee, of the amount of class hours and online hours, which generically I'm referring to as training. How often was that reported to you?

A Once a month and only for those employees who would sign the release.

Q Okay. Now, at this point, October of '04, is Dow expecting employees who are not reaching the required skill levels to be training? A At this time, we're still not requiring anybody 16

to train. What we are doing is listening to the concerns that the Union was having at this time about people. Based off of what the language said it says, Two levels. So, Union had concerns, Holy toledo, we might have a lot of people that don't have two levels. What can we be looking at? And so, now we are just working together, brainstorming ideas, what are some possible options. That's all we are doing at that time.

Q Okay. What did you decide to do?

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It just added two columns. I mean, it would say, Online and class. Yeah, Online and class time. And it would 2 actually have hours in there. 3

O And you began receiving that in October of '04?

A Yes, sir.

Q And let's just take that up -- for purposes of our conversation today -- up through the end of November of '06. Did you receive that report for that period of

9 time?

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A Yes, sir.

Q Does that report still exist?

A I don't -- do I still get that information? I 12 13 don't think so.

Q No. I mean, do you still have access to the 14 report? Not do you get it currently. In other words, 15 do you receive that report from October of '04, to the end of -- excuse me, sometime in November of '06? Does 17 18 the report containing that data still exist?

A Yes, sir.

20 Q And describe it for me, again, so I'm clear.

21 It has two new columns?

22 A Yes, sir.

Q That say what? What's the header?

24 A I'm trying to go off the top of my head. I

think it says, Classroom hours and online hours.

Page 72 A What did we decide to do? As it relates in

2005, the Union and company signed an agreement related 2 3 to this.

Q Okay. And did it -- what do you remember --4 5 what was the agreement about?

A The agreement had to do with -- the language 6 required two levels, but in the language it talks about 7 - and I want to refer to this because I don't want to say anything wrong -- it talks about however employees clearly working toward achieving the skills but simply needing additional time in achieving required levels may be given additional time and opportunity to succeed. 12 That's what the Union had focused in on. You know, I've 13 got some people out there that are trying to achieve the two levels. Can we look at an effort that they are putting out and consider that versus just the Dow requirements of two levels? So, that's what we started 17 18 working on. 19

Q All right. And that resulted in an agreement?

20 A Yes, sir.

O Okay. Mr. Campbell, I'm going to show you what 21 22 I'm going to mark as Campbell Exhibit No. 5, and ask you if that is the agreement you just discussed in your 23

24 previous testimony.

(Deposition Exhibit No. 5 was marked).

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not created by us but presumably relative to certain exemptions under the Freedom of Information Act. If we 2 could, it talks about the status of compliance. And there must have been something whited out that says -stated that, Beginning in 2003, Dow began an assessment 5 and training program for the hourly paid operators at the Freeport, Texas plant. Dow, along with Brazos Port 7 College, developed a program they believed would provide

necessary skills for employees to be able to properly 9 complete their job. Is that a true sentence? 10

A I don't know. I know that Dow paid Brazos Port College to address a gap that they had. They worked with Brazos Port College. I don't know if Dow worked along with them to develop the program.

Q Is the answer, I don't know if that's true?

A I'll say I don't know if that's true.

16 Q Then it goes on to say, Each employee was 17 required to take an initial assessment in each of these 18 six areas. The times spent in the initial assessment 19 was paid for by Dow. Once the employee's skill level

20 was determined in each area, the employee was expected 21 to train without pay in order to advance at least two

22 skill levels per year until the employee had achieved 23

the required skill level in all six categories. Is that 24

a correct sentence?

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A No. Q Why was Mr. Maynor terminated? 2

A Because he was - we had on the skills initiative -- he didn't meet the two level requirements

each year. And as far as we could tell, he had no 6

activity, whatsoever.

Q Okay. All right. In other words, am I correct that your testimony is, that you did not require employees to provide you with access to the records of their training hours? Is that your testimony today?

A What I'm trying to say is that the only way for 11 us to know if you had activity was for the college to 12 release the information. It could only be released from 13 a signing of a FERPA. So, you either don't sign a FERPA, or you didn't do anything in training, if you had 15 done something in training. 16

Q Again, I appreciate your answer. Did Dow 17 require an authorization for access to that information 18 19

MS. PATTERSON: Objection to form. What 20 information? 21

MR. SIUREK: Training records from the 22

23 college. 24 A No.

Q (By Mr. Siurek) All right. Then it says, The 25

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A No.

MS. PATTERSON: Objection to the form. You asked him that question several times referring to the DOL letter earlier.

A No.

Q (By Mr. Siurek) So, in this document what the 6 DOL writes is not true?

8 A If that's who wrote this, then, no, that's not 9 true.

Q Okay. Then it goes on to say, The training was provided through Brazos Port College, but was

12 specifically developed by both Dow and Brazos Port. Is that a true statement?

A From what I know, no.

Q Okay. So, the second false statement in a 16 document from the Department of Labor. Is that your 17 testimony?

A Yes, sir.

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19 Q Okay. As part of the requirements of the training program, Dow required each employee to sign an 21

authorization allowing Dow to have access to the 22 training records of the employees. Understanding your

objection to the notion of requirement of training, was

did Dow require each employee to sign an

authorization allowing them access to training records?

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time spent by employees in studying for this training program, as well as the time spent in retaking the

reassessments, was not paid by Dow. True or not true?

3 A My mind is somewhere else a minute. Can we go 4 back to that question? I'm thinking about what you are

asking me. And the requirement from Dow is, you are 6

required to do two levels. Okay? We've clearly shown 7

that, as far as the CBA, once you assessed, if you

didn't profile, you had to improve two levels per year.

The only way Dow would know if you got those two levels 10 is if you released the information to us. Okay? Okay. 11

Q Okay. Okay. Then it says, The time spent by 12 employees in studying for this training program, as well 13

as the time spent in taking the reassessments, was not 14

paid by Dow. Correct statement? 15

A Correct.

16 Q Okay. The complainant, Mr. Singletary, was the 17

business manager and was not an affected party in the 18 case -- we'll move on to the next. All right. Then we

19 go on. Section 6, there's no violations disclosed. 20

Here is the next, Section 7. ER -- I will gather is the 21

employer. I think it's nomenclature. Just assume with me that that's what that means -- was found to have

23 failed to pay EE's -- and I'm going to assume that's 24

employees -- for all HW. We can assume that means all

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stated that Dow has attempted to achieve compliance by removing any disciplinary action, period. I would have said that. I'm not saying that I would have said that is associated with the training program.

Q (By Mr. Siurek) Okay. It goes on to say, Mr. Campbell went on to say that all disciplinary action that was placed upon employees in the past for failing to fulfill the requirements of the training program under the CBA was also removed as of 1-1, 2007. First question: Did you say that?

A I would have said something similar to that. I'm not going to say I made that exact statement, and the reason -- I'm just going to say no.

Q That you didn't say this?

A I'm going to say that I did not say that exact statement.

Q Do you remember what you did say?

A I would have said that as we are working through the Department of Labor and coming up with a settlement, that for active employees we would go and it would go and remove discipline related to the skills initiative.

22 Q Just so I'm clear, you have added active 23 employees. And you are saying disciplinary action related to the skills initiative program instead of the

A Yes, sir. Q And was that spreadsheet created by you?

A Yes, sir.

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3 Q And I understand that you didn't agree with it, but I'm just making sure I understand where 2.67 million 4 5 dollars came from. Data compiled by you at the request 6 of the Department of Labor? 7

A That is true, as per the request of what they were looking for.

9 Q I understand. Then it says -- you stated that 10 Dow's requirements were only for the employees to move 11 up two steps or make a reasonable effort to move up two 12 steps. First of all, did you say that? 13

A Yes, sir.

Q Okay. Now, for purposes of 2003, 2004, 2005 and most of 2006, that's not true, is it?

A What?

Q That the requirement was they only move up two 18 steps or make a reasonable effort. 19

A As it related to the Department of Labor and the discipline that they were looking at, discipline never went into effect until 2005. At that time is when we worked through this information that they are discussing right here.

Q And I'm going to ask you the same question

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words used here; is that right?

A Yes, sir.

2 Q Okay. The last sentence here -- no, we'll keep 3 moving along. Mr. Barrow discussed the potential for liability by Dow if they choose to continue the amount of training taken by the employees. Mr. Barrow stated that Dow may wish to further disassociate themselves 7 from the requirements of the training program by not monitoring the training time or classes the employees are involved in. 10

Without regard to your view about whether or not training is compensable, after this meeting, did you continue to monitor training hours of the employees that were participating in the skills initiative?

A No.

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Q It goes on to say, Mr. Campbell stated he did not agree with the 2.67 million dollar back wage figure that was originally presented. Okay. What 2.67 million 18 dollar back wage figure?

19 A The spreadsheet, as requested by the Department 20 of Labor, was put together as requested by the 21 Department of Labor. It listed all hours attributed 22 with the skills initiative.

Q Okay. And that spreadsheet totaled up to be 25 unpaid wages of 2.67 million dollars?

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which is, it says, Dow's requirements were only that they move up two steps or make a reasonable effort to move up the two steps for the calendar year 2003, 2004, 2005, until November 20th of 2006. That was not a true 4 statement, correct? 5

A I don't understand what you are asking, so I don't know what to answer.

Q Well, employees were required to do more than make reasonable efforts to advance two levels; isn't that correct?

MS. PATTERSON: During that period of time? MR. SIUREK: Yes.

13 Q (By Mr. Siurek) And where do you get that 14 requirement being different than what's in the CBA? 15

A Because by the time -- the first time you ever go into any impact that relates to the CBA is 2005. By 2005, February of 2005, we had come to an agreement which is, if you quote the contract made, whatever it

19 says, employees clearly work toward achieving the skills

20 but simply needed additional time. As we worked through 21

this with the Union to resolve this issue that 22

terminology shortened, so we didn't have to quote that 23 every time. We just said, Reasonable efforts. So,

24 during anything related to this program by the time 25

anything gets addressed it is the two levels are a reasonable effort.

Q And then it says, A reasonable effort was defined by the company along with the Union as a minimum of 40 hours of training. And I understand your position about the February 2005, disciplinary action memo, which is Exhibit 5, correct?

A Yes, sir.

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Q Okay. It says, Mr. Campbell believes that, at most, Dow should be responsible for 40 hours of training by the employees and not for any additional time employees spent training. Did -- first of all, did you say that that's what you believed?

MS. PATTERSON: Objection to the form. A This is a note written by somebody else. In a whole bunch of conversations, in one aspect of a conversation that we had, did I make this statement right here? That would be true.

Q (By Mr. Siurek) Okay.

MS. PATTERSON: And I just want to say that any statements were made in the context of a settlement in negotiation with the Department of Labor.

MR. SIUREK: If that's an objection, I'll address it, which is, we are going to take the position there's no settlement.

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total of information that I'm hearing so far is a number 1 of meetings and a very detailed spreadsheet that was 2 made by you provided to the Department of Labor in a 3 position statement that was done by legal counsel? 4 That's as much as you know in terms of the information 5

that was available at the time? 6 A Yes, sir, assuming I probably gave them a

language associated with the Collective Bargaining Agreement.

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Q Okay. It says, DD Barrow again did not agree 10 with the offer. Barrow requested an additional 11 conference with a Dow representative. This conference 12 call was held on April 27th, 2007. It looks to me to be 13 something along the lines of maybe three months later. 14 Does that sound right to you? 15

A I don't know.

16 Q Okay. And then in this conversation, 17 Mr. Barrow informed Harkins that the number that was 18 being proposed wasn't reasonable and that he informed 19 Mr. Harkins they would be given two options. Do you see 20 21 that?

A Yep.

22 Q What is your understanding of what was being 23 offered by the Department of Labor to Dow to resolve the 24 complaint made by the Union with regard to the claims 25

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MS. PATTERSON: Well, I understand, but you do understand -- I mean, I think to the extent he said he believed anything along those lines, it was -- this whole document, which was presumably faired by somebody at the DOL, documents discussions about how to resolve a complaint. Whether or not we agreed with the validity of the complaint or not, we had to resolve it.

MR. SIUREK: I understand your position, and it's an admissibility question that would obviously come up later.

MS. PATTERSON: Sure, absolutely. There's no question about it.

Q (By Mr. Siurek) My question is this: Did you, 13 at the time, believe that Dow should be responsible for 40 -- no more than 40 hours of training? 15

A No.

Q Okay. You believe that they should be responsible for zero?

A That is a true statement.

Q In the context of resolution, you believe no 20 more than 40 should be paid? 21

A Yes, sir.

22 Q Understood. Okay. Then there is a redactive 23 period. And again says, After reviewing this information. And, again, am I correct that your sum

Page 136 for the skills initiative participation?

A I have no knowledge.

Q In other words, the conversations about the options were not had with you?

A I'm not sure that's exactly how you asked the question.

Q Did you have any information during the discussions with the Department of Labor on whether Dow was going to receive two options from the Department of Labor on how to resolve the complaint made by the Union?

A I don't recall.

Q Okay. Well, were the options given to you?

A I believe most of -- let's -- when it comes 13 between the Department of Labor's legal process and 14 Dow's legal process, these conversations go on between 15 the lawyers. When the lawyers need to engage with me, 16 if I'm representing some of Dow's position, they will 17 get with me then. I do not know of all conversations 18 that go on between them. So, I'll answer what I 19 20 Q And that's all I'm asking you to do, is to tell 21

me what you know. 22

A I don't remember if I was involved in every 23 option that they discussed. 24 25

Q Was it ever your understanding that Dow could

make one of two choices, to resolve the claim by the Union for the unpaid wages?

A These two options or something similar to them was covered with me.

Q And what did you understand, if anything, the difference in the two options?

A Robin's assumption, in his own terminology: If we went three years, the Department of Labor would say we - I can't remember what their terminology was - we kind of agreed with what they're doing. It would not

limit an employee from coming and suing the Dow Chemical 11 company. The second option was, you go two years. It's 12 a self-audit. Department of Labor is not saying 13 anything and an employee can still sue. So, in both

cases, the employee can still sue us. 15

O That's your understanding?

A Yes, sir. 17

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Q Okay. Do I take from your answer your 18 understanding was that Dow was not settling with any of 19 the employees?

20 MS. PATTERSON: Objection to the form. 21 MR. SIUREK: I want my record clear. Tell 22 me what your objection is. 23 MS. PATTERSON: I'm not sure what you are

24 meaning the term settling to be. Releasing all claims Page 137

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MS. PATTERSON: Objection to the form.

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A In my opinion, yes, sir.

Q (By Mr. Siurek) Did anyone discuss with you either at the Department of Labor or within the conversations you had with Dow the idea of a Department of Labor supervised settlement?

A I don't remember if they -- if they discussed that with me.

Q Do you know what a Department of Labor 9 supervised settlement is? 10

A I have my own opinion; but no, I don't know.

Q Tell me what your opinion is. 12

A Well, in my opinion, if the Department of Labor 13 supports our settlement, it takes everything on a whole 14 different -- a whole different scale, as far as people 15 being released or included in a settlement. 16

O And so, what happens?

A If we were at that point, then really the case closed at the end of this.

Q When you mean closed, does that mean that the 20 people who received money would be required to give 21 22 releases?

A Yes, sir.

Q And this was not, to your understanding, a 24

Department of Labor supervised settlement?

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Q (By Mr. Siurek) Do you understand what the term settle is, settle a claim?

A No, I'd like you to define that, so I don't make my assumption of how I'm going to answer that question.

Q Assume with me that it's going to be a question a jury is going to be real interested to hear. So, let's go ahead and make sure we are clear then. Do you have any understanding, based on what you knew the options to be, that any one of the Dow Union members who were included in the complaint by the Union were released in the agreement with the Department of Labor so that their claims were settled?

MS. PATTERSON: Object to the question. It calls for a legal conclusion. Answer to the extent you are able.

A Robin's recollection of this was that no matter what we did, if an employee wanted to come and sue Dow, they could come and sue Dow.

Q (By Mr. Siurek) Was that your understanding?

22 A Yes, sir.

Q Do I take from your answer that you believed 23 that the payment of this money did not release Dow from

liability to any of those employees?

Page 140 MS. PATTERSON: Objection to form. Go

2 ahead.

A In my opinion, it was clear that no matter what 3 Dow did in this, that it was not going to be a closed 4 5 case. Let's put it that way.

O (By Mr. Siurek) Well, let me ask it again, because I want to make sure I get an answer. In your view, what occurred in this case was not a supervised settlement by the Department of Labor with regard to this complaint. True or false?

MS. PATTERSON: Objection to the form.

A If how I described it in my own words meets the question that you are asking me, then I'll say true.

Q (By Mr. Siurek) Okay. Are you familiar with what a WH56 and a WH58 are?

A No earthly idea.

Q All right. Do you know if those are documents 17 that are required for a Department of Labor supervised 18 settlement? 19

MS. PATTERSON: Objection, form.

A No, I don't.

O (By Mr. Siurek) All right. Then we drop down, 22 23

and it looks as though there was some data -- see where

it starts with, Testing time? There's some data here 24

that looks like it is presented in this document. And

Page 145 Page 147 for paying employees spent for two assessments when in time and a half? actuality they only paid employees for the time they 2 MS. PATTERSON: Objection to the form. spent in one assessment. Do you see that sentence? 3 A Can we repeat that one? A Yes, sir. 4 Q (By Mr. Siurek) Sure. The skills --5 Q Do you have any understanding of what that 5 participation in the skills initiative assessment was in 6 means? addition to their scheduled work time at Dow? 7 A Yes, sir. 7 A As the way the program was set up. I'm not 8 Q Tell me your understanding of what that means. 8 saying that's a true statement, that there wasn't 9 A It's stated completely wrong, but what it was, 9 training and stuff that went on during regular work was that from a review of the spreadsheet, we paid, as I 10 10 hours; but as far as the program was set up, yes, sir. 11 discussed earlier, the first eight hours of orientation. 11 Q Then by extension, if an employee participated 12 Okav? 12 in the skills initiative program after or in excess of a 13 Q Yes, sir. 13 40-hour work week that they put in at the plant, they 14 A However, the person that was putting together 14 would -- or if they were compensated -- were they 15 the spreadsheet, based on what I was telling them, 15 compensated at time and a half? Or if they weren't, subtracted the eight hours, again, as time already paid. 16 should they have been compensated at time and a half? 17 So, an eight hours of orientation got subtracted twice 17 MS. PATTERSON: Objection to form. 18 when it should have only been taken out once. When we 18 Q (By Mr. Siurek) Strike the question. Are saw that, we called the Department of Labor and told 19 these guys owed overtime if they are owed money? 20 them we were going to make another pay based on who 20 MS. PATTERSON: Objection to the form. Are didn't get their full pay on that. 21 you saying are they owed time and a half? What's 22 Q Because of the double deduction? 22 overtime, Mark? Are you using that as a euphemism for 23 A Yes, sir. 23 time and a half? Objection to the form. 24 Q And just so I can close the corral, it's your 24 A If the ruling was his time was compensable and position and Dow's position that, at least as to all of the data showed it was done outside of the regular work Page 146 Page 148 the initial assessments, each employee was paid for that schedule, then it would be at the agreed upon overtime 2 time? 2 rate. 3 A Yes, sir. 3 Q (By Mr. Siurek) Which is? 4 Q Okay. Let me just ask you a couple of 4 A Time and a half. questions, and then I'm going to take a couple minute 5 Q Thank you. Now, relative to the training hours 6 break and we may be done. I think we've covered this 6 only, meaning the online time and the class time, that 7 earlier. Each of the Plaintiffs in this case - are you 7 was first made available to you on a regular basis 8 aware, I should ask you, that each Plaintiff in this 8 beginning in October of 2004. True? 9 case is a member of the collective bargaining unit that 9 A Yes, sir. 10 is described in Exhibit No. 1? 10 Q And at least from October of 2004, til November 11 A Are or were? of 2006, you were aware of each employee that was 12 Q Are or were, both. engaging in training hours on an individualized basis, 12 13 A Yes, sir. 13 correct? 14 Q And that all of those individuals are or were 14

15 employees of Dow? 16 A Yes, sir. 17 Q All right. And that, I think we've covered it 18 before, as hourly, nonexempt employees, they are all 19 covered by the Fair Labor Standards Act? 20 A Yes, sir. 21 Q All right. And what we also cover, to the 22 extent any of them were paid or owed money for the --23 any skills initiative participation, if it was over 40

hours a week -- excuse me, if it was in excess of a

40-hour work week, they either were paid or are owed at

Q Thank you. Now, relative to the training hours only, meaning the online time and the class time, that was first made available to you on a regular basis beginning in October of 2004. True?

A Yes, sir.

Q And at least from October of 2004, til November of 2006, you were aware of each employee that was engaging in training hours on an individualized basis, correct?

A If they signed the FERPA, yes, sir.

Q Okay. How many employees do you believe didn't sign the FERPA?

A One.

Q Okay. So, everybody but Mr. Maynor?

A Yes, sir.

Q All right. And with regard to training, would you agree with me that the two components that you were aware of were not the only training tools available to the Dow employees who participated in the skills initiative program?

MS. PATTERSON: Objection to the form.

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